

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
50041-00014In Re Application Of: **HANDSCHY ET AL.**

SEP 29 2003

Serial No.

10/067,516

Filing Date

FEBRUARY 4, 2002

Examiner

T. THOMPSON

Group Art Unit

2873

Title:

**"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE MATRIX
LIQUID CRYSTAL IMAGE GENERATOR"**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

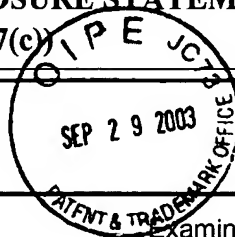
2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☒ the fee set forth in 37 CFR 1.17(p).RECEIVED
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE LIQUID CRYSTAL IMAGE GENERATOR"

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

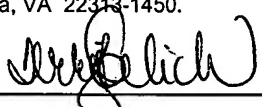
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **SEPT. 23, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

TERRI BELICH

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

ROBERT G. CROUCH
REGISTRATION NO. 34,806
MARSH FISCHMANN & BREYFOGLE LLP
3151 S. VAUGHN WAY #411
AURORA, COLORADO 80014
(720) 562-5506

Dated: **SEPTEMBER 23, 2003**

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CC:



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HANDSCHY, ET AL.

Serial No.: 10/067,516

Filed: FEBRUARY 4, 2002

Confirmation No.: 8464

Atty. File No.: 50041-00014

For: "Optics Arrangement Including Light
Source Arrangement For An Active Matrix
Liquid Crystal Image Generator"

) Group Art Unit: 2873

) Examiner: Timothy J. Thompson

<p>CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON SEPTEMBER 23, 2003.</p> <p>MARSH FISCHMANN & BREYFOGLE LLP</p> <p>BY: <u>Terry Belich</u></p> <p>TERRE BELICH</p>

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicant hereby submits this Supplemental Information Disclosure Statement with a copy of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Also enclosed please find a check in the amount of \$180.00 pursuant to 37 C.F.R. §1.97(c).

10/01/2003 JBALINAN 00000133 10067516

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180.00 DP

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 

Robert G. Crouch

Registration No. 34,806

3151 South Vaughn Way, Suite 411

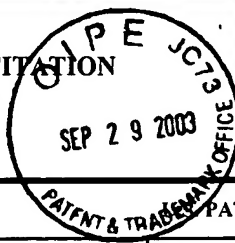
Aurora, Colorado 80014

(720) 562-5506

Date: September 23, 2003

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)
50041-00014

Application Number
10/067,516

Applicant(s)
HANDSCHY ET AL

Filing Date
FEBRUARY 4, 2002

Group Art Unit
2873

PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	3,697,149	10/10/72	VAN HEECKEREN ET AL	350	3.5	
	2.	4,865,427	09/12/89	KINGSTON ET AL	350	355	
	3.	5,151,718	09/29/92	NELSON	346	160	
	4.	5,285,308	02/08/94	JENKINS ET AL	359	260	
	5.	5,309,523	05/03/94	IWAKI ET AL	382	42	
	6.	5,387,953	02/07/95	MINOURA ET AL	353	20	
	7.	5,497,433	03/05/96	ITOH ET AL	382	211	
	8.	5,504,514	04/02/96	NELSON	347	130	
	9.	5,539,554	07/23/96	LEBBY ET AL	359	83	
	10.	5,580,156	12/03/96	SUZUKI ET AL	362	184	
	11.	5,612,708	03/18/97	ANSLEY ET AL	345	8	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc)

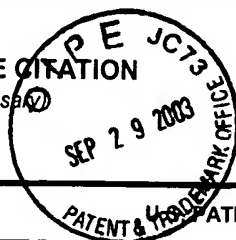
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INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

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SERIAL NO.

10/067,516

HANDSCHY ET AL

FILING

FEBRUARY 4, 2003

GROUP

2873

PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,754,344	05/19/98	FUJIYAMA	359	631	
	13.	5,808,800	09/15/98	HANDSCHY ET AL	359	630	
	14.	5,853,240	12/29/98	TANAKA ET AL	353	20	
	15.	6,008,946	12/28/99	KNOWLES	359	630	
	16.	5,568,315	10/22/96	SHUMAN	359	487	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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